Title: IECQ HSPM Certification to IECQ QC 080000 Edition 3.0 and recast RoHS Directive (2011/65/EU) – Introduction Plan

Introduction

This IECQ Technical Notice is intended for Organizations seeking certification to, or are already certified to IECQ HSPM.

This Technical Notice

- provides the use and transitional arrangements (plan) for the introduction of the revised IECQ Specification IECQ QC 080000 Ed. 3.0 and details the plan for conversion of all IECQ HSPM Certifications before 1 June 2014
- provides the use and transitional arrangements (plan) for the use and inclusion of the recast RoHS Directive (2011/65/EU) with IECQ HSPM Certifications

New applications for IECQ HSPM certification after 1 June 2012 – Options are

a) New IECQ HSPM Certification applications received after 1 June 2012 maybe conducted to IECQ QC 080000:2012. Or

b) Where required, new IECQ HSPM Certification applications received after 1 June 2012 and prior to 1 May 2014 may continue to be conducted to IECQ QC 080000:2005 – However it should be noted that these certificates will only have a limited validity period as all IECQ HSPM Certifications (Certificates) MUST be converted (upgraded) to IECQ QC 080000:2012 and new or up-issued IECQ HSPM Certificates of Conformity issued by 1 June 2014.

Notes: The audit Man-Days for initial assessments as specified in IECQ 03-5, apply for both 2005 and 2012 Editions of QC 080000. All new IECQ HSPM Certificates where conducted to IECQ QC 080000:2012 shall have the 2012 edition of the specification stated in the IECQ HSPM Certificate. See Figure 1 below for how an IECQ CB achieves this.

Existing IECQ HSPM Certifications (Certificates) issued before 1 June 2012 (Transition / Conversion)

- All existing IECQ HSPM Certifications to IECQ QC 080000:2005 shall be converted to IECQ QC 080000:2012 and a new or up-issued IECQ HSPM Certificates of Conformity shall be issued by 1 June 2014.

- Conversion of an IECQ HSPM Certification from IECQ QC 080000:2005 to IECQ QC 080000:2012 may take place at any visit (a special visit for the purpose to upgrade to QC 080000:2012, surveillance, recertification [certificate-renewal] or extension) before 1 May 2014 and shall be within the validity of the existing certification/certificate.

- Conversion audit:
  - The organization, in consultation with their IECQ CB may choose which visit before 1 May 2014 and within the validity of the existing certification/certificate to conduct the conversion audit.
  - At the successful completion of the conversion audit a new or up-issued IECQ HSPM Certificate of Conformity will be issued.
  - Additional Man-Days (MD) to accommodate the change of QC 080000:2012 shall apply as follows:
    - Where conducted during a surveillance or extension audit, an addition of 0.5MD for document review and 1 MD for on-site is required.
    - Where conducted as a separate special or during a recertification [certificate-renewal] audit Man-Days shall apply as per Initial IECQ HSPM Audits.
  - Where Conversation is not achieved before 1 June 2014, HSPM Certifications are required to be suspended.

Note: Existing audit plans and timetables should not be delayed or interrupted.
IECQ HSPM Certification and the RoHS Directive (2011/65/EU)

- Until 1 January 2013
  - Organisations seeking or holding IECQ HSPM Certification, with Eu RoHS in the scope of certification, may include the requirements in the original Eu RoHS (2002/95/EC) and/or RoHS Directive (2011/65/EU) in their management system scope of controls.

- After 1 January 2013
  - All Organisations either seeking or holding IECQ HSPM Certification, with RoHS in the scope of certification, shall only include the requirements of the RoHS Directive (2011/65/EU) in their management system scope of controls.

- Verification by the IECQ HSPM Certification issuing IECQ Certification Body (IECQ CB)
  - The adoption or transition to requirements of the RoHS Directive (2011/65/EU) shall be verified by the IECQ HSPM Certification issuing IECQ CB during the first planned visit on or after 1 January 2013.
    - The IECQ CB shall also verify that the requirements of the RoHS Directive (2011/65/EU) have been adopted since 1 January 2013 during that visit.
  - At the successful completion of the visit audit (which includes this verification) a new or up-issued IECQ HSPM Certificate of Conformity will be issued, indicating the RoHS Directive (2011/65/EU) only.
  - All existing IECQ HSPM Certifications, with RoHS in the scope of certification, shall be verified for the adoption or transition to requirements of the RoHS Directive (2011/65/EU) as detailed above, by the IECQ HSPM Certification issuing IECQ CB before 31 December 2013.

Additional Useful Information – Informative

To note the following useful information

- Organisations with IECQ HSPM Certification can have other areas / regulations to which the IECQ HSPM Certificate is applicable to.
- Organisations with IECQ HSPM Certification applicable to Eu RoHS may be in the supply chain but not make Electrical Electronic Equipment (EEE) under the scope of the RoHS Directive.
  - i.e. grommet manufacturers, heat sink manufacturers and liquid crystal display manufacturers, for example, may have an IECQ HSPM Certificate applicable to Eu RoHS but the product supplied is not under the scope of the Eu RoHS Directive.
  - Manufacturers of Product that is not EEE, as defined by the Eu RoHS Directive, are able to use QC 080000 to demonstrate that the Eu RoHS Directive has been addressed and the product supplied to the original equipment manufacturer (OEM) is not jeopardized.

For any questions regarding this IECQ Technical Notice, please contact the Secretariat as follows:

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IECQ QC080000 Edition Selection

Select between:
IECQ QC080000:2005
or
IECQ QC080000:2012
Default = 2012
Click on to select...

Figure 1